

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEYS DOCKET NO. 2933HC-1 U.S. APPLICATION NO. (If known, see 35 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/530647</div>
INTERNATIONAL APPLICATION NO. PCT/JP2003/016671	INTERNATIONAL FILING DATE December 25, 2003	PRIORITY DATE CLAIMED December 26, 2002
TITLE OF INVENTION "GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING THE SAME"		
APPLICANT(S) FOR DO/EO/US HORISAKA, Tamaki; SUZUKI, Koichi and MINAMI, Akihide		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 10 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> A preliminary amendment. 13. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A power of attorney and/or change of address letter. 16. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 17. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 1.54(d)(4). 19. <input checked="" type="checkbox"/> Other items or information: Postcard Receipt 		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/530647		INTERNATIONAL APPLICATION NO. PCT/JP2003/016671		ATTORNEY'S DOCKET NUMBER 2933HC-1	
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21. The following fees are submitted: <input type="checkbox"/> a) Basic national fee \$300.00 <input type="checkbox"/> b) Examination fee \$200.00 <input type="checkbox"/> c) Search fee \$500.00 <div style="text-align: right;">TOTAL OF ABOVE CALCULATIONS = \$1,000.00</div>					Applicant use	Office use only	
					\$	300.00	
					\$	200.00	
					\$	500.00	
					\$	1,000.00	

<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets		Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
0	-100 =	-100 /50 =	0	x \$250.00	\$	0
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE		
Total claims		20 - 20 =	0	x \$50.00	\$	0.00
Independent claims		2 - 3 =	0	x \$200.00	\$	0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =					\$	1,000.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	
SUBTOTAL =					\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =					\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property					\$	
TOTAL FEES ENCLOSED =					\$	1,000.00
Amount to be refunded:						\$
Amount to be charged:						\$

a. ☒ A check in the amount of \$ 1,000.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

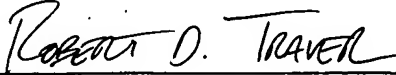
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SHERIDAN ROSS P.C.
 1560 Broadway, Suite 1200
 Denver, Colorado 80202-5141
 Telephone: (303) 863-9700
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 SIGNATURE

 Robert D. Traver
 Registration No. 47,999

CUSTOMER NO. 22442

10/530647
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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
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TYPED OR PRINTED NAME: JANICE MESSER

SIGNATURE: *Janice Messer*